

+

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known		
			Application Number	09/934002	
			Filing Date	Herewith 8/21-01	
			First Named Inventor	Satoshi SEO	
			Group Art Unit	1774	
			Examiner Name	GARRETT	
Sheet	1	of	2	Attorney Docket Number	SEL 272

[illegible][illegible]

Examiner Signature	<i>Daun Garrett</i>	Date Considered	<i>Nov. 9, 2002</i>
-----------------------	---------------------	--------------------	---------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

Please type a plus sign (+) inside this box → +

PTO/SB/08B (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U. S. Patent and Trademark Office: U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	09/934,002
		Filing Date	Herewith 8-21-01
		First Named Inventor	SEO
		Group Art Unit	1774
		Examiner Name	GARRETT
		Attorney Docket Number	SEL 272
Sheet	2	of	2

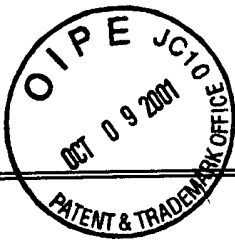
OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
DS	1	D. F. O'BRIEN, M.A., M.E. THOMPSON, and S. R. FORREST, "Improved Energy Transfer In Electrophosphorescent Devices," Applied Physics Letters, Vol. 74, No. 3, pp. 442-444, January 18, 1999,	1
DS	2	T. TSUTSUI, ET AL., "High Quantum Efficiency In Organic Light-Emitting Devices With Iridium-Complex As A Triplet Emissive Center," Japanese Journal of Applied Physics, Vol. 38, No. 12B, pp. 1502-1504, December 15, 1999.	1
DS	3	English Translation of Abstract - Japanese Patent JP10012377, January 16, 1998.	1
DS	4	English Translation of Abstract - Japanese Patent JP10153967, June 9, 1998.	1
DS	5	English Translation of Abstract - Japanese Patent JP10270171, October 9, 1998.	1

Examiner Signature	Dawn Garrett	Date Considered	Nov. 9, 2002
---------------------------	--------------	------------------------	--------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



LIST OF PUBLICATIONS CITED BY APPLICANT	<u>Attorney Docket No.</u> SEL 272		<u>Serial No.</u> 09/934,002			
	<u>Applicant</u> Satoshi SEO					
	<u>Filing Date</u> August 21, 2001		<u>Group</u> 1774			
U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
OTHER PUBLICATIONS (Including Author, Title, Date, Pertinent Pages)						
DS	1) Tsutsui T., "The Operation Mechanism And The Light Emission Efficiency Of The Organic EL Element," 3 rd Lecture text, Organic Molecular Electronics And Bioelectronics Division, The Japan Society Of Applied Physics, 1993, pp. 31-37.					
DS	2) Tsutsui T., "The Operation Mechanism And The Light Emission Efficiency Of The Organic EL Element," 3 rd Lecture text, Organic Molecular Electronics And Bioelectronics Division, The Japan Society Of Applied Physics, 1993, pp. 31-37. ENGLISH FULL TRANSLATION					
EXAMINER: <i>Dawn Garrett</i>				DATE CONSIDERED: <i>November 9, 2002</i>		
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP form. Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.						